A commodity transaction entered into or offered on a leveraged or margined basis, or financed by the offeror, the counterparty, or someone acting in concert with the offeror or counterparty.

- If yes:
  - Are you an individual that trades or invests more than $10,000,000 on a discretionary basis?
    - [] Yes  [] No
  - Are you an individual that trades or invests more than $5,000,000 and enters into the foreign currency agreement to manage the risk associated with some other asset or liability?
    - [] Yes  [] No

[] Other

If other, please describe:

5. If applicable, what is the name of product/investment?

6. Have you suffered a monetary loss? [] Yes  [] No

If yes, describe how much.

7. Has the individual or firm who engaged in the conduct acknowledged their fault? [] Yes  [] No

8. Have you or anyone else taken any action against the firm or person who engaged in the alleged conduct? [] Yes  [] No

If yes, select the appropriate category:

[] Prior complaint to the CFTC.
[] Complaint to another regulator.
[] A state or federal criminal law enforcement entity.
[] A legal action filed against the person or firm in a court of law.
[] Additional comments based on above selection (e.g., Who, When, Contact, To whom made, Case Number, Court).