

CFTC POOL QUARTERLY REPORT FOR COMMODITY POOL OPERATORS

Form CPO-PQR Template · Schedule A

d. Provide the following information regarding the Pool's restrictions on participant withdrawals and redemptions.

(For Questions iv. and v., please note that the standards for imposing suspensions and restrictions on withdrawals/redemptions may vary among funds. Make a good faith determination of the provisions that would likely be triggered during conditions that you view as significant market stress.)

i. Does the reporting fund provide participants with withdrawal/redemption rights in the ordinary course?

Yes No

(If you responded "yes" to Question 12(d)(i), then you must respond to Questions 12(d)(ii)-(v).)

As of the data reporting date, what percentage of the Pool's net asset value, if any:

ii. May be subjected to a suspension of participant withdrawals/redemptions CPO (this question relates to a CPO's right to suspend and not just whether a suspension is currently effective)

iii. May be subjected to material restrictions on participant withdrawals/ redemptions (e.g., "gates") CPO (this question relates to a CPO's right to impose a restriction and not just whether a restriction has been imposed).....

iv. Is subject to a suspension of participant withdrawals/redemptions (this question relates to whether a suspension is currently effective and not just a CPO's right to suspend).....

v. Is subject to a material restriction on participant withdrawals/redemptions (e.g., a "gate") (this question relates to whether a restriction has been imposed and not just a CPO's right to impose a restriction).....

e. Has the Pool imposed a halt or any other material limitation on redemptions during the Reporting Period?

Yes No

If "Yes," provide the following information:

i. On what date was the halt or material limitation imposed?

ii. If the halt or material limitation has been lifted, on what date was it lifted?

iii. What disclosure was provided to participants to notify them that the halt or material limitation was being imposed? What disclosure was provided to participants to notify them that the halt or material limitation was being lifted?

iv. On what date(s) was this disclosure provided?

v. Briefly explain the halt or material limitation(s) on redemptions and the reason for such halt or material limitation(s):