

**CFTC POOL QUARTERLY REPORT FOR COMMODITY POOL OPERATORS**

**Form CPO-PQR Template · Schedule A**

**6. POOL TRADING MANAGERS**

Provide the following information concerning the Pool's Trading Manager(s):

- a. Has the CPO authorized Trading Managers to invest or allocate some or all of the Pool's Assets Under Management? Yes  No

If "Yes," provide the following information for each Trading Manager:

- i. Name of the Trading Manager:
- ii. NFA ID# of the Trading Manager:
- iii. Address of the Trading Manager:
- iv. Telephone number of the Trading Manager:
- v. Starting date of the relationship with the Trading Manager:
- vi. What percentage of the Pool's Assets Under Management does the Trading Manager have authority to invest or allocate?  %

**7. POOL CUSTODIANS**

Provide the following information concerning the Pool's custodian(s):

- a. Does the CPO use custodians to hold some or all of the Pool's Assets Under Management? Yes  No

If "Yes," provide the following information for each custodian:

- i. Name of the custodian:
- ii. NFA ID# of the custodian:
- iii. Address of the custodian:
- iv. Telephone number of the custodian:
- v. Starting date of the relationship with the custodian:
- vi. What percentage of the Pool's Assets Under Management is held by the custodian?  %

**8. POOL AUDITOR**

Provide the following information concerning the Pool's auditor(s):

- a. Does the CPO have the Pool's financial statements audited? Yes  No

If "Yes," provide the following information:

- i. Is the audit conducted in accordance with GAAP? Yes  No
- ii. Name of the auditing firm:
- iii. Address of the auditing firm: