

CFTC POOL QUARTERLY REPORT FOR COMMODITY POOL OPERATORS

Form CPO-PQR Template · Schedule A

4. POOL THIRD PARTY ADMINISTRATORS

Provide the following information concerning the Pool's third party administrator(s):

a. Does the CPO use third party administrators for the Pool? Yes No

If "Yes," provide the following information for each third party administrator:

- i. Name of the administrator:
- ii. NFA ID# of administrator:
- iii. Address of the administrator:
- iv. Telephone number of the administrator:
- v. Starting date of the relationship with the administrator:
- vi. Services performed by the administrator:

- Preparation of Pool financial statements: Maintenance of the Pool's books and records:
- Calculation of Pool's performance: Other _____:

b. What percentage of the Pool's Assets Under Management is valued by a third party administrator, or similar entity, that is independent of the CPO? %

If the number entered is greater than "0," provide the following information:

Name(s) of the third party(-ies):

5. POOL BROKERS

Provide the following information concerning the Pool's Broker(s):

a. Does the CPO use Brokers for the Pool? Yes No

If "Yes," provide the following information for each Broker:

- i. Name of the Broker:
- ii. NFA ID# of Broker:
- iii. Address of Broker:
- iv. Telephone number of the Broker:
- v. Starting date of the relationship with the Broker:
- vi. Services performed by the Broker:

- Clearing services for the Pool: Custodian services for some or all Pool assets:
- Prime brokerage services for the Pool: Other _____: