

Indicate whether the owner is a legal entity or a natural person:

Legal entity:

Natural person:

Name of Reportable Sub-Account Owner(s):

Street Address:

City:

State:

Country:

Zip/Postal Code:

Phone Number:<sup>10</sup>

Email Address (if owner is a natural person):

Contact Name (if owner is not a natural person):

Contact Job Title:

Contact Relationship to Owner:

Contact Phone Number:<sup>11</sup>

Contact Email Address:

Owner Website (if any):<sup>12</sup>

Owner NFA ID (if any):

Owner Legal Entity Identifier (if any):

(ii) For each reportable sub-account that is not an omnibus account, provide the requested information for each reportable sub-account controller. (NOTE: a reportable sub-account controller must be a natural person.)

Name of Reportable Sub-Account Controller(s):

Street Address:

City:

State:

Country:

Zip/Postal Code:

Phone Number:<sup>13</sup>

Name of Employer:

Job Title:

Relationship to Owner:

Email Address:

Controller NFA ID (if any):

After completing the applicable questions in part B.3, proceed to part C.

### C. Signature/Authentication, Name, and Date:

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<sup>10</sup> Please provide a direct number, without any telephone extension. Non-U.S. respondents should also provide the applicable international area code.

<sup>11</sup> Please provide a direct number, without any telephone extension. Non-U.S. respondents should also provide the applicable international area code.

<sup>12</sup> The website and NFA ID requested in this question are only required to be reported to the extent the respondent has this information available in its records. Respondents are not required to poll customers or other parties for the website and NFA ID if this information has not been previously collected.

<sup>13</sup> Please provide a direct number, without any telephone extension. Non-U.S. respondents should also provide the applicable international area code.