

6. *Volume Threshold Account Controller(s)*.

For each volume threshold account identified that is not an omnibus account, provide the requested information for each volume threshold account controller (“controller”). NOTE: As defined in §15.00, a volume threshold account controller must be a natural person.

Name of Volume Threshold Account Controller(s):

Follow-On Information:⁴⁷

Street Address: City: State: Country: Zip/Postal Code: Phone Number: ⁴⁸ Name of Employer: Employer NFA ID (if any): Employer Legal Entity Identifier (if any): Job Title: Relationship to Owner: Email Address: Controller NFA ID (if any):
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that were reported to the CICI Utility (or other CFTC-accepted LEI provider) and are associated with this Owner Legal Entity Identifier. Reporting parties that take advantage of such relief from duplicative reporting when making their web-based or FTP submission should check the box in the web form corresponding to the appropriate field (or make appropriate changes to their FTP data submission) to indicate that the omitted information has been reported to an LEI provider.

⁴⁷ Follow-On Information may be submitted by the later date specified in § 17.02.

⁴⁸ Please provide a direct number, without any telephone extension. Non-U.S. respondents should also provide the applicable international area code.