

5. *Omnibus Account Information.*

If the reported special account is an omnibus account, indicate whether the account is a house or customer omnibus account.<sup>10</sup>

HOUSE

CUSTOMER

6. *Special Account Owner(s) Contact Information.*

Provide the following information regarding the owner of this special account. Owners may be natural persons or any type of legal entity.

Indicate whether the owner is a legal entity or a natural person:

Legal entity:

Natural person:

***Name of Special Account Owner:*** [For each field, check box if field reported to

LEI provider in lieu of reported on this form

***Street Address:***

***City:***

***State:***

***Country:***

***Zip/Postal Code:***

Phone Number:<sup>11</sup>

Email Address:

Contact Name (if owner not a natural person):

Contact Job Title:

Contact Relationship to Owner:

Contact Phone Number:<sup>12</sup>

Contact Email Address:

Owner Website (if any):<sup>13</sup>

Owner NFA ID (if any):

Owner Legal Entity Identifier (if any):<sup>14</sup>

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<sup>10</sup> House omnibus accounts exclusively contain the proprietary accounts of the omnibus account originator. Customer omnibus accounts contain the accounts of customers of the omnibus account originator. It is the obligation of the omnibus account originator to correctly identify the omnibus account type to the reporting entity.

<sup>11</sup> Please provide a direct number, without any telephone extension. Non-U.S. respondents should also provide the applicable international area code.

<sup>12</sup> Please provide a direct number, without any telephone extension. Non-U.S. respondents should also provide the applicable international area code.

<sup>13</sup> The website and NFA ID requested in this question are only required to be reported to the extent the respondent has this information available in its records. Respondents are not required to poll customers or other parties for the website and NFA ID if this information has not been previously collected.

<sup>14</sup> If the Owner Legal Entity Identifier was issued by the CICI Utility (or by any other CFTC-accepted LEI provider), then the reporting party is not required to report any of the fields marked above in bold and italics (Name of Special Account Owner, Street Address, City, State, Country, and Zip/Postal Code (collectively, the