

## CFTC FORM CPO-PQR REPORT FOR COMMODITY POOL OPERATORS

## Form CPO-PQR Template

**7. POOL CUSTODIANS**

Provide the following information concerning the Pool's custodian(s):

- a. Does the CPO use custodians to hold some or all of the Pool's Assets Under Management?

If "Yes," provide the following information for each custodian:

- i. Name of the custodian:
- ii. NFA ID# of the custodian:
- iii. Address of the custodian:
- iv. Telephone number of the custodian:
- v. Starting date of the relationship with the custodian:
- vi. What percentage of the Pool's Assets Under Management is held by the custodian?

**8. POOL'S STATEMENT OF CHANGES CONCERNING ASSETS UNDER MANAGEMENT**

Provide the following information concerning the Pool's activity during the Reporting Period. For the purposes of this question:

- a. The Assets Under Management and Net Asset Value at the beginning of the Reporting Period are considered to be the same as the Assets Under Management and Net Asset Value at the end of the previous Reporting Period, in accordance with 17 CFR 4.25(a)(7)(A).
- b. The additions to the Pool include all additions whether voluntary or involuntary in accordance with 17 CFR 4.25(a)(7)(B).
- c. The withdrawals and redemptions from the Pool include all withdrawals or redemptions whether voluntary or not, in accordance with 17 CFR 4.25(a)(7)(C).
- d. The Pool's Assets Under Management and Net Asset Value on the Reporting Date must be calculated by adding or subtracting from the Assets Under Management and Net Asset Value at the beginning of the Reporting Period, respectively, any additions, withdrawals, redemptions and net performance, as provided in 17 CFR 4.25(a)(7)(E).