

CFTC FORM CPO-PQR REPORT FOR COMMODITY POOL OPERATORS**Instructions for Using Form CPO-PQR****5. I advise a Pool that invests in entities that are not Pools, or are exempt. How should I treat these investments for purposes of Form CPO-PQR?**

With respect to investments in entities that are not Pools or are exempt, these investments should be treated consistent with Instruction 4 above.

6. Form CPO-PQR Must Be Filed Electronically with NFA.

All CPOs must file Form CPO-PQR electronically using NFA's EasyFile System. NFA's EasyFile System can be accessed through NFA's website at www.nfa.futures.org. You will use the same logon and password for filing your Form CPO-PQR as you would for any other EasyFile filings. Questions regarding your NFA ID# or your use of NFA's EasyFile system should be directed to NFA staff. NFA's contact information is available on its website, <https://www.nfa.futures.org>.

7. All Figures Must Be Reported in U.S. Dollars.

All questions asking for amounts or investments must be reported in U.S. dollars. Any amounts converted to U.S. dollars must use the conversion rate in effect on the Reporting Date.

8. Use of U.S. GAAP or Alternative Accounting Principles, Standards, or Practices.

All financial information in this Report must be presented and computed in accordance with GAAP consistently applied. See 17 CFR 4.27(c)(4).

9. Reporting of Legal Entity Identifiers (LEIs)

Form CPO-PQR includes questions asking CPOs for LEIs for the CPO and its operated Pools. CPOs are **NOT** required to obtain LEIs for themselves or their operated Pools, solely for the purpose of completing this Form CPO-PQR, where such CPOs or Pools are not otherwise required to have them for their operations.

10. Oath and Affirmation

This Form CPO-PQR will not be accepted unless it is complete and contains an oath or affirmation that, to the best of the knowledge and belief of the individual making the oath or affirmation, the information contained in the document is accurate and complete; provided however, that it shall be unlawful for the individual to make such oath or affirmation if the individual knows or should know that any of the information in this Form CPO-PQR is not accurate and complete.