

**Name and Contact Information for Reporting Counterparty:****1. Reporting Counterparty**

Name and Address (including City, State, Country, Zip/Postal Code):

Reporting Counterparty website (if any):

Reporting Counterparty Unique Identifier (if any):

<input type="checkbox"/> Legal Entity Identifier "LEI" (if any)	
<input type="checkbox"/> National Futures Association ID Number (if any)	
<input type="checkbox"/> Other Party Identifier (Please Specify)	

**2. Reporting Counterparty Contact Person<sup>92</sup>**

Name and Job Title and/or Relationship with Reporting Counterparty:

Phone Number and Email Address:

**Commodity Category Indication:****3. In the prior calendar year, the Reporting Counterparty entered into one or more unreported trade options in the following commodity categories:**

Agricultural <sup>93</sup>	<input type="checkbox"/>	YES	<input type="checkbox"/>	NO
Metals <sup>94</sup>	<input type="checkbox"/>	YES	<input type="checkbox"/>	NO
Energy <sup>95</sup>	<input type="checkbox"/>	YES	<input type="checkbox"/>	NO
Other (Please Specify)	<input type="checkbox"/>	YES	<input type="checkbox"/>	NO

**Approximate Size of Unreported Trade Options Exercised in the Prior Calendar Year:****4. Please indicate, by commodity category, the approximate total value (quantity received/delivered multiplied by price paid/received) of physical commodities that the reporting counterparty purchased and/or delivered in connection with the exercise of unreported trade options in the prior calendar year:<sup>96</sup>**

\_\_\_\_\_

<sup>92</sup> This should be an individual able to answer specific questions about the reporting counterparty's unreported trade options activity if contacted by Commission staff.<sup>93</sup> Agricultural commodity is defined in the Commission's regulations at 17 CFR 1.3(zz).<sup>94</sup> Including, but not limited to, gold, silver, platinum, palladium, copper, aluminum, and rare earth metals.<sup>95</sup> Including, but not limited to, petroleum products, natural gas, and electricity.<sup>96</sup> For the purposes of answering this question, a reporting counterparty should not include the value of commodities that were the subject of trade options that remained open at the end of the prior calendar year or any trade options that expired unexercised during the prior calendar year.