

5d. Date on which the complainant took the action(s) described in question 5b (mm/dd/yyyy):

/ /

6a. Have you taken any other action regarding your complaint?

YES NO

6b. If the answer to question 6a is “yes,” please provide details. Use additional sheets, if necessary.

7a. Type of financial product or investment, if relevant.

7b. Name of financial product or investment, if relevant.

8. State in detail all facts pertinent to the alleged violation. Explain why the complainant believes the facts described constitute a violation of the Commodity Exchange Act (CEA). Use additional sheets, if necessary.

9. Describe all supporting materials in the complainant’s possession and the availability and location of any additional supporting materials not in complainant’s possession. Use additional sheets, if necessary.