

CFTC POOL QUARTERLY REPORT FOR COMMODITY POOL OPERATORS

Form CPO-PQR Template · Schedule A

iv. Telephone number of the auditing firm:

v. Starting date of the relationship with the auditing firm:

b. Are the Pool's audited financial statements distributed to the Pool's participants?
 Yes No

9. POOL MARKETERS

Provide the following information concerning the Pool's marketer(s):

a. Does the CPO use the services of third parties to market participations in the Pool?
 Yes No

If "Yes," provide the following information for each marketing firm:

i. Name of the marketing firm:

ii. Address of the marketing firm:

iii. Telephone number of the marketing firm:

iv. Starting date of the relationship with the marketing firm:

v. Address of any website used by the marketing firm to market participations in the Pool:

10. POOL'S STATEMENT OF CHANGES CONCERNING ASSETS UNDER MANAGEMENT

Provide the following information concerning the Pool's activity during the Reporting Period. For the purposes of this question:

a. The Assets Under Management and Net Asset Value at the beginning of the Reporting Period are considered to be the same as the assets under management and Net Asset Value at the end of the previous Reporting Period, in accordance with Commission Rule 4.25(a)(7)(A).

b. The additions to the Pool include all additions whether voluntary or involuntary in accordance with Commission Rule 4.25(a)(7)(B).

c. The withdrawals and redemptions from the Pool include all withdrawals or redemptions whether voluntary or not, in accordance with Commission Rule 4.25(a)(7)(C).

d. The Pool's Assets Under Management and Net Asset Value on the Reporting Date must be calculated by adding or subtracting from the Assets Under Management and Net Asset Value at the beginning of the Reporting Period, respectively, any additions, withdrawals, redemptions and net performance, as provided in Commission Rule 4.25(a)(7)(E).

i. Pool's Assets Under Management at the beginning of the Reporting Period:

ii. Pool's Net Asset Value at the beginning of the Reporting Period: