

FORM DCM

CONTRACT MARKET

APPLICATION OR AMENDMENT TO APPLICATION FOR DESIGNATION

EXHIBITS INSTRUCTIONS

The following Exhibits must be filed with the Commission by each Applicant applying for designation as a contract market, or by a designated contract market amending its designation, pursuant to Section 5 of the CEA and the Commission's regulations thereunder. The Exhibits must be labeled according to the items specified in this Form DCM.

The application must include a Table of Contents listing each Exhibit required by this Form DCM and indicating which, if any, Exhibits are inapplicable. For any Exhibit that is inapplicable, next to the Exhibit letter specify "none," "not applicable," or "N/A," as appropriate.

LIST OF EXHIBITS

EXHIBITS – BUSINESS ORGANIZATION

1. Attach as **Exhibit A**, the name of any person(s) who own(s) ten percent (10%) or more of the Applicant's stock or who, either directly or indirectly, through agreement or otherwise, in any other manner, may control or direct the management or policies of Applicant.

Provide as part of Exhibit A the full name and address of each such person and attach a copy of the agreement or, if there is none written, describe the agreement or basis upon which such person exercises or may exercise such control or direction.

2. Attach as **Exhibit B**, a list of the present officers, directors, governors (and, in the case of an Applicant that is not a corporation, the members of all standing committees grouped by committee), or persons performing functions similar to any of the foregoing, of the designated contract market or of any entity that performs the regulatory activities of the Applicant, indicating for each:

1. Name
2. Title
3. Dates of commencement and termination of present term of office or position
4. Length of time each present officer, director, or governor has held the same office or position
5. Brief account of the business experience of each officer and director over the last five (5) years
6. Any other business affiliations in the derivatives and securities industry
7. For directors, list any committees on which they serve and any compensation received by virtue of their directorship
8. A description of:
 - (1) Any order of the Commission with respect to such person pursuant to Section 5e of the CEA;
 - (2) Any conviction or injunction against such person within the past ten (10) years;
 - (3) Any disciplinary action with respect to such person within the last five (5) years;
 - (4) Any disqualification under Sections 8b and 8d of the CEA;
 - (5) Any disciplinary action under Section 8c of the CEA; and
 - (6) Any violation pursuant to Section 9 of the CEA.

3. Attach as **Exhibit C**, a narrative that sets forth the fitness standards for the Board of Directors and its composition including the number and percentage of public directors.