

If the reporting firm indicated that it is a foreign broker in the "Reporting Firm Contact Information" above, identify the reporting firm's U.S. futures commission merchant.

Name of U.S. futures commission merchant:

Street Address:

City:

State:

Country:

Zip/Postal Code:

Contact Name at U.S. futures commission merchant (a natural person, "Contact"):

Contact Job Title:

Contact Phone Number:<sup>35</sup>

Contact Email Address:

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## Section 102B – Identifying and reporting a *volume threshold account*.

### 1. *New/Modified Indicator:*

- Volume threshold account being reported for the first time
- Re-submitted or modified Information for a previously reported volume threshold account

### 2. *Trading Account Data for the Volume Threshold Account.*

Provide the trading account number, and any related short code(s), deemed to be a volume threshold account. Also identify the reporting market at which the volume threshold account had reportable trading volume.

Trading Account Number:

Short Code(s):

Reporting Market:

### 3. *Associated Special Account Number.*

If the volume threshold account has been previously identified as a trading account that comprises a special account(s) reported by a clearing member in question 9 in section 102A of this form, provide the associated special account number(s).

### 4. *Omnibus Account Information.*<sup>36</sup>

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<sup>35</sup> Please provide a direct number, without any telephone extension. Non-U.S. respondents should also provide the applicable international area code.